

FORM PTO-1390
REV. 5-93

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEYS DOCKET NUMBER

P05,0154

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/531,791

INTERNATIONAL APPLICATION NO.
PCT/EP2003/011708

INTERNATIONAL FILING DATE
22 October 2003

PRIORITY DATE CLAIMED
28 October 2002

TITLE OF INVENTION:

"METHOD AND SYSTEM FOR CREATING A GRAPHIC USER INTERFACE FOR CONTROLLING, CONFIGURING AND/OR DIAGNOSING AN ELECTROPHOTOGRAPHIC COPYING OR PRINTING APPARATUS"

APPLICANT(S) FOR DO/EO/US

Martin BRUNNINGER and Berthold KATHAN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **EXECUTED**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A **SECOND** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. ☒ **Second Preliminary Amendment**
 - b. ☒ **Translation of International Preliminary Examination Report**
 - c. ☒ **EXPRESS MAIL #EV 635786283 US Mailed October 4, 2005.**

U. S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/531,791		INTERNATIONAL APPLICATION NO. PCT/EP2003/011708		ATTORNEY'S DOCKET NUMBER P05,0154	
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17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): (Search Report has been prepared by the EPO or JPO) \$900.00 (Includes National Stage, Search, and Examination Fees) <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS		PTO USE ONLY	
				\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$130.00			
Claims	Number Filed	Number Extra	Rate				
Total Claims	42-34 =	8	X \$50.00	\$400.00			
Independent Claims	6-4 =	2	X \$200.00	\$400.00			
Multiple Dependent Claims			\$300.00 +				
TOTAL OF ABOVE CALCULATIONS =				\$930.00			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)							
SUBTOTAL =				\$930.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$00			
TOTAL NATIONAL FEE =				\$930.00			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+			
10/11/2005 LLANDGRA 00000046 10531791				TOTAL FEES ENCLOSED =		\$930.00	
01 FC:1617		130.00 OP		Amount to be refunded			
02 FC:1615		400.00 OP					
03 FC:1614		400.00 OP					
				charged			

a. ☒ A check in the amount of **\$930.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **501519**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin LLP
Patent Department
6600 Sears Tower
Chicago, Illinois 60606-6473
Customer Number 26574

SIGNATURE

Brett A. Valiquet

NAME

27,841

Registration Number

Rec'd PCT/PTO 04 OCT 2005



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.

10/531,791

FIRST NAMED APPLICANT

Martin Brunninger

ATTY. DOCKET NO.

P05,0154

INTERNATIONAL APPLICATION NO.

PCT/EP03/11708

I.A. FILING DATE

10/22/2003

PRIORITY DATE

10/28/2002

26574
 SCHIFF HARDIN, LLP
 PATENT DEPARTMENT
 6600 SEARS TOWER
 CHICAGO, IL 60606-6473

CONFIRMATION NO. 3445

371 FORMALITIES LETTER



OC000000017045140

Date Mailed: 09/22/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/18/2005
- English Translation of the IA filed on 04/18/2005
- Copy of the International Search Report filed on 04/18/2005
- Copy of IPE Report filed on 04/18/2005
- Information Disclosure Statements filed on 04/18/2005
- Oath or Declaration filed on 04/18/2005
- Request for Immediate Examination filed on 04/18/2005
- U.S. Basic National Fees filed on 04/18/2005
- Priority Documents filed on 04/18/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$360** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$490** for a Large Entity:

- **\$130** Surcharge.
- Total additional claim fee(s) for this application is **\$ 360**
 - **\$360** for multiple dependent claim surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/531,791	PCT/EP03/11708	P05,0154

Rec'd PCT/PTO 04 OCT 2005

CERTIFICATION OF MAILING

"Express Mail" Mailing Label Number **EV 635786283 US**

Date of Deposit: October 4, 2005

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, **MAIL STOP PCT**, P.O. Box 1450, Alexandria VA 22313-1450.

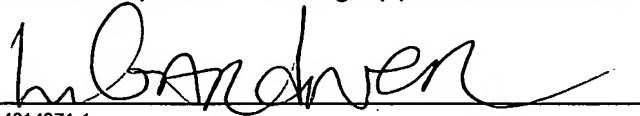
Martin BRUNNINGER and Berthold KATHAN

PCT Application entitled: **"METHOD AND SYSTEM FOR CREATING A GRAPHIC USER INTERFACE FOR CONTROLLING, CONFIGURING AND/OR DIAGNOSING AN ELECTROPHOTOGRAPHIC COPYING OR PRINTING APPARATUS"**

Our Case No. P05,0154 (26970-0375)

Form 1390 in Duplicate
Executed Declaration
Executed Assignment (Separate Envelope) - \$40.00 – Postcard
Second Preliminary Amendment
Translation of International Preliminary Examination Report
\$800.00
\$130.00 Fee
Copy of Notice
Postcard

Signature of person mailing application and fee



CH1\ 4314971.1